IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of:

S. Rodaway et al.

Serial No.:

Filed:

For:

09/498,949

Examiner:

Christian L. Fronda

February 4, 2000

Group No.:

1652

Tryptophan Synthase As A Site of Herbicide Action

Assistant Commissioner for Patents

Washington, DC 20231

January 29, 2002

Sir:

FILING RECEIPT

Here are the following papers:

- a. Supplemental Information Disclosure Statement;
- b. Form PTO-1449 in duplicate;
- c. Copies of the twenty-five references listed on PTO-1449; and
- d. Change of Correspondence Address

The PTO is respectfully requested to date stamp the duplicate copy of this receipt and return it to the person filing the papers.

Respectfully submitted,

Charles F. Costello

Legal Department, NOL/P **BASF** Corporation 3000 Continental Drive - North Mount Olive, New Jersey 07828-1234 Tel. No. (609) 716-3273

Docket No: ACY33555-00

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 09/498,949 Examiner: Christian L. Fronda

Filed: **February 4, 2000** Group No.: **1652**

For: Tryptophan Synthase As A Site of Herbicide Action

Assistant Commissioner for Patents Washington, D.C. 20231

Supplemental Information Disclosure Statement

1. <u>Preliminary Statements</u>

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

| 2. | Ider | ntificati | ion of Time of Filing |
|----|------|-------------|--|
| | This | s Infor | mation Disclosure Statement |
| | a. | | is filed within three months of the filing date of the application. |
| | b. | | is filed before the mailing date of a first Office Action on the merits. |
| | c. | \boxtimes | is filed after the period specified in 2(a) or 2(b) above, but before the mailing date |
| | | | of a final action under 37 CFR 1.311. This statement includes a certification |
| | | | under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p). |
| | d. | | is filed after the mailing date of a final action or Notice of Allowance but before |
| | | | payment of the issue fee. This statement includes (i) a certification under 37 CFF |
| | | | 1.97(e), (ii) a petition requesting consideration of this information disclosure |
| | | | statement, and (iii) the petition fee under 37 CFR 1.17(i) (1). |

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| 3. | | Certification under 37 CFR 1.97(e) The undersigned attorney certifies |
|----|----------|--|
| a. | | that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or |
| b. | | that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement. |
| c. | | Conditional Petition: If this Information Disclosure Statement specifies the time of filing as 2(c) above, but in fact it is filed after the mailing date of a final action or Notice of Allowance, please consider this a petition requesting consideration of the information and authorization to charge Deposit Account 02-1197 for the petition fee." |
| 4. | | Newly Cited Information A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed, except that no copy of a pending U.S. application is enclosed. |
| 5. | | Previously Cited Information No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120. Prior application is Serial Number , filed on of for . |
| 6. | a. b. | Concise Explanation Documents cited above which are not in the English Language have been explained in the specification. have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed. |
| 7. | Form | n PTO-1449 is enclosed in duplicate. |
| 8. | | A petition requesting consideration of this information disclosure statement is enclosed. |
| | | |

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| 9. | \boxtimes | Fees |
|-----|-------------|------|
| - • | | |

| a. | \boxtimes | late filing (37 CFR 1.17(p)) | Fee: | \$ | 180.00 |
|----|-------------|-------------------------------|----------------|----------|--------|
| b. | | Petition (37 CFR 1.17(i) (1)) | Fee: | \$ | 130.00 |
| | | | Total Fee Due: | Q | 190 00 |

10. Method of Payment of Fees:

Charge Deposit Account No. **02-1197** in the amount of **\$180.00** A duplicate of this statement is enclosed.

11. Instructions as to Overpayment/Underpayment:
Credit any overpayment and charge any underpayment to Deposit Account
No. **02-1197**.

Date: January 29, 2002 (Charles

Charles F. Costello

Reg. No. 27,324

Legal Department, NOL/P BASF Corporation P.O. Box 400 Princeton, New Jersey 08543-0400

Tel. No. (609) 716-3273

| LIST OF PATENT AND PUBLICATION FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY) | Atty. Docket No. ACY33555-00 | Serial No. 09/498,949 |
|---|------------------------------|-------------------------------|
| | Applicant(s) Rodaway et al. | Examiner: Christian F. Fronda |
| | Filing Date: 02/04/2000 | Group: 1652 |

US PATENT DOCUMENTS

| Examiner Initial | | Doc. No. | Date | Name | Class | Sub-Class | Filing Date |
|---------------------|----|----------|------|------|-------|-----------|-------------|
| | AA | | | | | | |
| | AB | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | | Doc. No. | Date | Country | Class | Sub-Class | Trans Yes | lation No |
|---------------------|----|----------|------|---------|-------|-----------|--------------|--------------|
| | AC | | | | | | | |
| | AD | | | | | | | |
| | AE | | | | | | | |

OTHER ART (Including author, title, date, pertinent pages, etc.)

| | | OTHER ART (including author, title, date, pertinent pages, et | C.) |
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*Examiner:

Initial if reference considered, whether or not citation is in conformance with MPRP 609; Draw line through citation if not in conformance and not considered. Include coy of this form with next communication to applicant.

| LIST OF PATENT AND PUBLICATION FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY) | Atty. Docket No. ACY33555-00 | Serial No. 09/498,949 |
|---|------------------------------|-----------------------|
| | Applicant(s) Rodaway et al. | |
| | Filing Date: 02/04/2000 | Group: 1643 |

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